

APPLICATION FOR

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SPECIFICATION

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Title of the Invention: DOCUMENT IMAGE CORRECTING DEVICE AND  
A CORRECTING METHOD

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## Document Image Correcting Device and a Correcting Method

### Background of the Invention

#### 5 Field of the Invention

The present invention relates to an image input system, etc., and more particularly, to a device which automatically correcting an English document image that is input by using an image input device  
10 such as a scanner, etc. to be a properly orientated image, and obtains a properly orientated document image, especially, by detecting as document correction methods a rotation, a rotational angle if the rotation is required, and mirror image flipping,  
15 which are intended for correcting an input document image to be properly orientated, from the input document image after the rotation or the mirror image flipping.

#### 20 Description of the Related Art

In recent years, a manual hand-held scanner which is small-sized and easy to carry has been developed and commercialized in addition to a stationary flat-bed scanner. With the hand-held  
25 scanner, a user can perform scanning in an arbitrary

direction at a high degree of discretion. However, an image may sometimes be read as a rotated image or a mirror image depending on a scanning direction. Therefore, the image must be corrected by being  
5 rotated or flipped back to the right-reading image.

With a conventional document image correcting device such as the one disclosed by Japanese Laid-open Patent Publication No. 8-212298, a character image is extracted from a scanned document image.  
10 Character recognition is performed in four directions such as in 0-, 90-, 180-, and 270-degree directions. The direction in which the degree of the likelihood (accuracy) of recognition is the highest is determined to be the orientation of the document. If  
15 the determined document orientation is not a correct orientation, the document image is corrected to be properly orientated (the orientation in which the image can be properly read as a document) by rotating the image.

20 Accordingly, with the conventional document image correcting device, character recognition cannot be made for a document image which is obtained by being scanned with a hand-held scanner and is flipped to a mirror image, if the character image which is  
25 extracted from the document image is rotated by any

of the angles such as 0, 90, 180, and 270 degrees. As a result, its correct orientation cannot be determined, leading to a correction not being possible.

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#### Summary of the Invention

An object of the present invention is to provide a document image correcting device and a method thereof, which automatically corrects a document  
10 image (including a mirror image) to be a properly orientated image, and can reduce a processing load such as that on the rotation of a character image, etc., when a document is fundamentally limited to horizontal writing, for example, as in an English  
15 document image represented by alphabets.

In the preferred embodiment according to the present invention, a document image correcting device comprises a line orientation determining unit, a character image extracting unit, a character  
20 orientation detecting unit, and a document image correcting unit.

The line orientation determining unit determines whether the line orientation of an input document image is either vertical or horizontal. The character  
25 image extracting unit extracts character images from

the input document image, for example, in units of characters. The character orientation detecting unit detects whether or not to require a rotation, a rotational angle if the rotation is required, and mirror image flipping, which are intended for correcting the character image extracted by the character image extracting unit to be properly orientated, in correspondence with the determination of the line orientation determining unit. The document image correcting unit corrects the input document image to be a properly orientated image based on the result of the detection made by the character orientation detecting unit.

In the preferred embodiment according to the present invention, the character orientation detecting unit may further comprise an image converting unit and a character recognizing unit. The image converting unit converts the character image extracted by the character image extracting unit to images which are rotated and/or flipped to a mirror image. The character recognizing unit performs character recognition for the converted character images, and outputs their character codes and the degrees of likelihood of the recognition. The character orientation detecting unit detects whether

or not to require the rotation, the rotational angle if the rotation is required, and the mirror image flipping, which are intended for correcting a character image to be properly orientated, based on the result of the recognition made by the character recognizing unit.

Also in the preferred embodiment according to the present invention, the document image correcting device may further comprise a language identifying unit identifying the language of an input document image. With this unit, the document image correcting device can correct an input document image to be a properly orientated image in correspondence with the result of the determination made by the language identifying unit.

In the preferred embodiment of the present invention, the character orientation detecting unit excludes some of, for example, one half of 8 patterns including a plurality of patterns obtained by rotating a character image within a input document image and/or flipping the image to a mirror image, and an image which is unchanged from the character image, and uses the remaining patterns in correspondence with the result of the determination made by the line orientation determining unit, so

that the unit can detect whether or not to require the rotation, the rotational angle if the rotation is required, and the mirror image flipping, which are intended for correcting the character image extracted by the character image extracting unit, to be properly orientated.

According to another preferred embodiment of the present invention, the document image correcting device may also comprise a line orientation determining unit, a line image extracting unit, a character orientation detecting unit, and an image correcting unit. The operations of the line orientation determining unit and the document image correcting unit are fundamentally the same as those described above.

The line image extracting unit extracts the whole or part of one line from an input document image as a line image. The character orientation detecting unit detects whether or not to require the rotation, the rotational angle if the rotation is required, and the mirror image flipping, which are intended for correcting the character image within the extracted line image to be properly orientated, in correspondence with the result of the determination made by the line orientation

determining unit.

Also in this preferred embodiment, the character orientation detecting unit may further comprise an image converting unit and a character recognizing unit. The image converting unit rotates the character image within the extracted line image and/or flips the character image to a mirror image in correspondence with the result of the determination made by the line orientation determining unit. The character recognizing unit performs character recognition for the converted character images, and outputs the character codes corresponding to the respective character images within the line image and the degrees of likelihood of the recognition. The character orientation detecting unit detects whether or not to require the rotation, the rotational angle if the rotation is required, and the mirror image flipping, which are intended for correcting the character image within the extracted line image to be properly orientated, based on the result of the recognition made by the character recognizing unit.

In this preferred embodiment according to the present invention, the character orientation detecting unit can also detect a character image type as a properly orientated character image, if the



character image type has a highest mean degree of likelihood of recognition performed by the character recognizing unit for the character images within each line image among character images types within the line image, to which the image converting unit converts the characters within the line image with different conversion methods, and if a preset number or more of predetermined character codes are included among the character codes corresponding to the character images within the line image.

Additionally, with a document image correcting method according to a preferred embodiment of the present invention, whether the line orientation of an input document image is either vertical or horizontal is determined, whether or not to require the rotation, the rotational angle if the rotation is required, and the mirror image flipping, which are intended for correcting the character image extracted from the input document image to be properly orientated, is detected in correspondence with the result of the determination, and the input document image can be corrected to be a properly orientated image based on the result of the detection.

Furthermore, a storage medium according to a preferred embodiment of the present invention, which

is used in a document image correcting device and stores a program for causing a computer to perform a process, said process comprising the steps of: determining whether the orientation of an input document image is either vertical or horizontal; detecting whether or not to require the rotation, the rotational angle if the rotation is required, and the mirror image flipping, which are intended for correcting a character image extracted from the input document image to be properly orientated, in correspondence with the result of the determination; and correcting the input document image to be a properly orientated image based on the result of the detection.

According to the present invention, as described above, after whether the line orientation of an input document image is either vertical or horizontal is first determined, the correction process for an input document image is performed. The present invention targets a document image the text of which is not vertically written in normal cases, for example, an English document image. When the line orientation of an input document image is determined to be vertical, the document image is corrected to be a properly orientated image after the characters within the

document image are rotated by 90 degrees and the document image is changed to an image the line orientation of which is horizontal.

Normally, when a document image is scanned in an arbitrary direction such as upward, downward, right or left, for example, with a hand-held scanner, 8 pattern images which are rotated and/or flipped to a mirror image are obtained depending on the orientation of the scanner head. For example, if there is a vertically written document such as a Japanese document that is represented by Chinese characters and kana being the Japanese syllabic script, the process for correcting a document image to be a properly orientated image must be performed for the 8 pattern images. However, for an English document, its patterns to be processed can be reduced to 4 by performing the process for correcting a document to be a properly orientated image after rotating the input character images by 90 degrees, when the line orientation of the document is determined to be vertical. As a result, the processing time can be reduced.

#### **Brief Description of the Drawings**

The features and advantages of the present

invention will be more clearly appreciated from the following description taken in conjunction with the accompanying drawings in which like elements are denoted by like reference numerals and in which:

5        Fig. 1 is a block diagram showing the principle of the configuration according to the present invention;

10       Fig. 2 is a block diagram showing the entire configuration of a document image correcting device according to the present invention;

      Fig. 3 explains the directions in which a document image is scanned with a hand-held scanner;

15       Fig. 4 exemplifies the images captured in correspondence with the scanning directions shown in Fig. 3;

      Fig. 5 explains the detection of the orientation of a character image if the line orientation of an input document image is vertical;

20       Fig. 6 explains the detection of the orientation of a character image if the line orientation of an input document image is horizontal;

      Fig. 7 is a flowchart showing the entire process for automatically correcting an English document image;

25       Fig. 8 is a flowchart showing the details of the

process for identifying English;

Fig. 9 explains an interval between English character images and a character width of an English character image;

5        Fig. 10 shows the mean values of the intervals between characters, the character widths, and the black pixel ratios in English and Japanese document images;

10       Fig. 11 is a flowchart showing the process for identifying English by using the difference between character intervals;

Fig. 12 is a flowchart showing the details of the process for automatically correcting a document image by extracting each character image;

15       Fig. 13 explains character codes as character recognition results, and the degrees of likelihood of character recognition;

20       Fig. 14 is a flowchart showing the details of the process for automatically correcting a document image to be a properly orientated image by extracting a line image; and

25       Fig. 15 explains the loading of a program for implementing the document image correcting device according to the present invention as a computer system into a computer.

### Description of the Preferred Embodiments

A preferred embodiment according to the present invention is explained by mainly referring to the process for correcting a document image in a language which is normally written horizontally and is seldom written vertically, such as English, German, Russian, and the like, which are represented by alphabets. For a document image in a language which is seldom written vertically, the correlationship between the line and character orientations of an input image becomes closer.

In this preferred embodiment, if a document image is, for example, an English document image, limitations are imposed on a character rotation direction when a character orientation is determined, and the like by using this nature. Namely, if the line orientation of an input image is vertical, the number of patterns for which character recognition is to be performed can be reduced by determining the character orientation after rotating the input image by 90 degrees beforehand. This is the premise of the present invention.

Fig. 1 is a block diagram showing the principle of the configuration according to the present invention. This figure shows the principle of the

configuration of the document image correcting device which corrects to be a properly orientated image a document image which is input by being rotated and/or flipped to a mirror image, for example, with the use  
5 of a hand-held scanner.

In Fig. 1, a line orientation determining unit 1 determines whether the line orientation of an input document image is either vertical or horizontal. A character image extracting unit 2 extracts character  
10 images from an input document image, for example, in units of characters.

A character orientation detecting unit 3 detects whether or not to require the rotation, the rotational angle if the rotation is required, and the  
15 mirror image flipping, which are intended for correcting a character image to be properly orientated, in correspondence with the result of the determination made by the line orientation determining unit 1, for example, as it is if the line  
20 orientation is horizontal, or after rotating the character image extracted by the character image extracting unit 2 if the line orientation is vertical. A document image correcting unit 4 corrects the input document image to be a properly orientated  
25 image based on the result of the detection made by

the character orientation detecting unit 3.

According to the present invention, as described above, the process for correcting an input document image is performed after determining whether the line orientation of the input document image is either vertical or horizontal. The present invention targets a document image the text of which is not written vertically in normal cases, such as an English document image. If the line orientation of an input document image is determined to be vertical, the process for correcting a document image to be a properly orientated image is performed after the document image is converted to an image the line orientation of which is horizontal by rotating the characters within the input document image by 90 degrees.

Normally, when a document image is scanned in an arbitrary direction such as upward, downward, right, or left, for example, with a hand-held scanner, 8 pattern images, which are rotated and/or flipped to a mirror image depending on the orientation of the head, are obtained. Assuming that a vertically written document such as a Japanese document represented by Chinese characters and kana exists, the process for correcting a document image to be a



properly orientated image must be performed for the 8 pattern images. However, for an English document, the patterns to be processed can be reduced to 4 by performing the process for correcting an input document image to be a properly orientated image after rotating input character images by 90 degrees, when the line orientation is determined to be vertical. Consequently, the processing time can be reduced.

Fig. 2 is a block diagram showing the entire configuration of the document image correcting device according to the preferred embodiment of the present invention. In the preferred embodiment according to the present invention, as described above, a document image in a language which is normally written horizontally and is seldom written vertically, such as an English document image, is targeted, its line orientation is detected, the orientation of the character images is detected according to the result of the detection, and the document image is corrected in correspondence with the orientation of the character images. Note that a character image indicates an image obtained by extracting a rectangular area which circumscribes a character.

In Fig. 2, an image storing unit 11 is intended

to store a document image input by a scanner. An English identifying unit 12 is intended to identify whether or not the language of the document image is English. A line orientation detecting unit 13 is  
5 intended to detect whether the line orientation of the input image is either vertical or horizontal.

A document orientation detecting unit 14 detects the orientation of characters, namely, whether or not to require the rotation, the rotational angle if the  
10 rotation is required, and the mirror image flipping, which are intended for correcting the characters within the input image to be properly orientated, in correspondence with the result of the detection made by the line orientation detecting unit 13, if the  
15 language of the input document image is determined to be English by the English identifying unit 12. Assuming that the line orientation detected by the line orientation detecting unit 13 is vertical, the document orientation detecting unit 14 detects the  
20 orientation of the characters after rotating the input image by 90 degrees. An image correcting unit 15 corrects the image stored in the image storing unit 11 in correspondence with the result of the detection made by the document orientation detecting  
25 unit 14, namely, the rotational angle for correcting

the characters within the input image and the result of the detection of whether or not to require the mirror image flipping, and outputs the corrected image as a properly orientated image.

5           Here, the scanning directions of a hand-held scanner and the images input by the scanner are explained by referring to Figs. 3 and 4. As shown in (1) through (8) of Fig. 3, a document image can be scanned in any direction such as upward, downward,  
10           right, or left with a hand-held scanner. Accordingly, if scanning is performed in the directions other than the direction shown in (1) of Fig. 3, a character image may be read as a rotated image and/or a mirror image depending on a scanning direction.

15           For example, if a properly orientated character "a" exists in the document, and if this character is scanned in the directions shown in (1) through (8) of Fig. 3, images to be captured are like those shown in (1) through (8) of Fig. 4. Assuming that the  
20           scanning is performed as shown in (3) of Fig. 3, the upper portion of the character image is captured unchanged as that of the input image. However, since the scanner head exists on the right side, the right portion of the document image is captured first. As  
25           a result, the right portion of the document image

becomes the left portion of the input image. Therefore, the mirror image in which the right and left portions of the document image are flipped is captured as the input image.

5           Among the total of 8 image patterns including the character image extracted unchanged from the image input by the scanner, and the images obtained by rotating the extracted character image by 90, 180, and 270 degrees, and/or by flipping to a mirror  
10 image, there is a strong possibility that a properly orientated image is included. This depends on the operational direction of the scanner, though.

          Speaking of the image itself input by the scanner, the 8 patterns shown in (1) through (8) of  
15 Fig. 4 exist as the states of that character image, even if the document image is an English document. However, a normal English text is not vertically written. As indicated by an arrow in the upper portion of Fig. 3, the line orientation is  
20 horizontal. Originally, there is a very slight possibility that the images shown in (5) through (8) of Fig. 4 exist in an English document. If the line orientation of the input image is vertical, the characters within the input image will be like any of  
25 the images (5) through (8). However, a document the

line orientation of which is vertical does not exist in normal English writing. Accordingly, when the line orientation of an input document image which is not written vertically as in an English document is determined to be vertical, the input image is corrected after being rotated by 90 degrees when the line orientation of the image is determined to be vertical. For the same reason, the input image is not rotated by 90 degrees if the line orientation of the input image is horizontal. The resultant character images become only the 4 states shown in (1) through (4) of Fig. 4. Therefore, also the character orientation may be detected only for these 4 patterns.

In the preferred embodiment according to the present invention, the line orientation is detected prior to the correction of, for example, an English document image as explained by referring to Fig. 2. If the detected line orientation is vertical, an input image is corrected after being rotated by 90 degrees beforehand for the sake of convenience of character recognition processing. In this way, the 8 patterns shown in Fig. 4 can be reduced to 4, whereby also the processing time taken to make a correction can be halved in comparison with, for example, the

time taken to correct a Japanese document image represented by Chinese characters and kana.

The line orientation of an image input by a scanner becomes vertical when scanning is performed in the direction parallel to the lines. That is, this is the case where a scanner is moved from the right to the left or vice versa as shown in (5) through (8) of Fig. 3, assuming that an operator is facing an English document to be scanned. At this time, the states of the input image will be the 4 patterns shown in (5) through (8) depending on whether the scanner head is oriented either upward or downward.

In the meantime, the line orientation of the image input by the scanner becomes horizontal when scanning is performed by moving the scanner from upward to downward or vice versa as shown in (1) through (4) of Fig. 3, assuming that the operator is present at the same position. The image patterns the line orientation of which becomes horizontal are the 4 patterns shown in (1) through (4) depending on whether the scanner head is oriented either the left or the right.

As described above, according to the preferred embodiment of the present invention, whether the line orientation is either vertical or horizontal is first

determined for an input document image. Since its method is known, its explanation is omitted here. There is no need to use a specific method as this method. For example, with the methods disclosed by Japanese Patent Publication Nos. 11-25213 and 11-25217, 3-4386, etc, the line orientation can be detected.

The orientation detection of a character image, which is performed when the line orientation of an input image is both vertical and horizontal, is explained by referring to Figs. 5 and 6. Fig. 5 explains the orientation detection of a character image when the line orientation is vertical. An image (A) of Fig. 5 is part of an image input by a scanner, and the line orientation of the input image is vertical. In this case, a character image (B) extracted from the input image is first rotated by 90 degrees, and an image (C) is obtained. Then, an image (D) obtained by flipping the character image (C) to a mirror image where the right and left portions are reversed, an image (E) obtained by rotating the character image (C) by 180 degrees, and an image (F) obtained by rotating the character image (C) by 180 degrees and flipping the rotated image are obtained on the basis of the character image (C), so that the

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4 images can be obtained as character recognition targets.

There is a strong possibility that a properly orientated image is included among these 4 images (C) through (F), although this depends on the operational direction of the scanner. In the case shown in Fig. 5, (D) is a properly orientated image. Character recognition is made for these 4 images, and the characters resultant from the recognition and the degrees of likelihood of the recognition are obtained. The maximum value of the degree of likelihood is defined to be 999 in this preferred embodiment according to the present invention. As a result of the recognition, the character image (D) is recognized to be a character "a", and its degree of likelihood is obtained to be 998.

Fig. 6 explains the detection of the orientation of the character image if the line orientation of the input image is horizontal. If the line orientation is horizontal, the extracted character image is recognized to be a character image (B) unchanged as a basis, unlike in Fig. 5. Namely, a mirror image (C) obtained by flipping the right and the left portions of the character image (B), an image (D) obtained by rotating the character image (B) by 180 degrees, and



an image (E) obtained by rotating the character image (B) by 180 degrees and flipping the rotated image are obtained. Character recognition is then performed for these 4 character images. Here, the image (E) is  
5 obtained as a properly orientated image.

As described above, In this preferred embodiment, orientation detection is made after an input image is rotated by 90 degrees if the line orientation of the input image is vertical, based on  
10 the premise that an English document is not vertically written.

Next, the process for correcting a document image in the preferred embodiment of the present invention will be further described in detail. Fig.  
15 7 is a flowchart showing the entire process for automatically correcting an English document image. In this figure, in step S1, whether or not an input image is an English document is first determined. In step S2, the process is terminated if the input image  
20 is not an English document as a result of the above described determination. However, a different correction process may be performed at this time. If the input image is an English document image, the process for automatically correcting the orientation  
25 of an English document image is performed in step S3

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after the determination process in step S2. The process is then terminated.

Fig. 8 is a flowchart showing the English identification process performed by the English identifying unit 12. Once the process is started in this figure, the value of "i" indicating the number of characters extracted from an input image is initialized to "0" in step S11. Then, in step S12, the rectangular area of an i-th character image within the input image is detected. In step S13, a black pixel ratio  $B_i$  and a character width  $W_i$  of the character image within the rectangular area, and an interval  $S_i$  between this character image and the next character image are obtained. The value of "i" is incremented in step S14, and whether or not this value reaches "M" is determined in step S15. Here, "M" is the number of character images used to identify whether or not a document image is English. If the value of "i" does not reach "M", the operations in and after step S12 are repeated.

In this preferred embodiment, the black pixel ratio and the character width of a character image, and the character interval between the character image and the next character image, which are obtained in step S13, are used to identify whether or

not the language of an input document image is English. Fig. 9 exemplifies the character interval and width.

Fig. 10 shows the mean values of character intervals, character widths, and black pixel ratios of English and Japanese document images, when the documents are input by a scanner at 400-dpi (dots per inch) resolution. The black pixel ratio is a ratio of pixels structuring a character to the total number of pixels within the character image. If the mean values of the character intervals, the character widths, and the black pixel ratios of the Japanese and English documents are taken as the threshold values for identifying the difference between English and Japanese, the values in the bottom line in Fig. 10 can be used as the threshold values. By comparing with the threshold values, whether the language of an input document image is either English or Japanese can be identified.

Turning back to Fig. 8. If the value of "i" being the number of characters extracted from the input image is determined to become equal to "M" being the number of characters used to identify English in step S15, the respective mean values  $B_a$ ,  $W_a$ , and  $S_a$  of the black pixel ratio  $B_i$ , the character

width  $W_i$ , and the character interval  $S_i$  are calculated for the "M" character images in step S16. In step S17, the value of "n" indicating the number of conditions under which the document is determined to be English among the 3 identification conditions, which respectively correspond to the black pixel ratio, the character width, and the character interval, is initialized to "0".

Then, in step S18, whether or not the mean value  $B_a$  of the black pixel ratio is equal to or larger than a threshold value  $TH\_B$  is determined. If the mean value  $B_a$  is equal to or larger than the threshold value  $TH\_B$ , the value of "n" is incremented in step S19. If the mean value  $B_a$  is smaller than the threshold value  $TH\_B$ , the process immediately goes to step S20.

In step S20, whether or not the mean value  $W_a$  of the character width is equal to or smaller than a threshold value  $TH\_W$  is determined. If the mean value  $W_a$  is equal to or smaller than the threshold value  $TH\_W$ , the value of "n" is incremented in step S21. If the mean value  $W_a$  is larger than the threshold value  $TH\_W$ , the process immediately goes to step S22. In step S22, whether or not the mean value  $S_a$  of the character interval is equal to or smaller than a

threshold value TH\_S is determined. If the mean value Sa is equal to or smaller than the threshold value TH\_S, the process goes to step S24 after the value of "n" is incremented in step S23. If the mean value Sa is larger than the threshold value TH\_S, the process immediately goes to step S24.

Then, in step S24, whether or not the number "n" of the conditions under which the document is determined to be English is 2 or more among the three identification conditions is determined. If the value of "n" is equal to or larger than 2, the language of the document is determined to be English in step S25. If the value of "n" is not equal to or larger than 2, the language is determined not to be English in step S26. The process is then terminated. Note that in the example of Fig. 10, the threshold values of the black pixel ratio, the character width, and the character interval are respectively 42 percent, 33 pixels, and 4 pixels.

Here, a comparison is made between character spacing in English and Japanese documents. Characters are spaced in a row at nearly identical intervals in the Japanese document, whereas characters are spaced at different intervals between words and between characters within a word in the English document.

This is because the English document is structured by words, each of which is composed of a plurality of characters. For a Japanese document image, character intervals of 3 to 15 pixels or so are consecutively detected if character intervals are viewed from the first character. In the meantime, in an English document, narrow character intervals of 1 to 5 pixels or so are consecutively detected from characters within a word, and a wide character interval of 11 pixels or so, which indicates the interval between the last character of the word and the first character of the next word, then appears. Note that the above described numbers of pixels in the character intervals are one example when the documents are read by a scanner at 400-dpi resolution.

By using such differences between the character intervals, the English identification process can be also performed. Fig. 11 is a flowchart showing the English identification process performed in such a case. Once the process is started, the value of "i" is initialized to "0" in step S31 in a similar manner as in step S11 of Fig. 8. In step S32, the rectangular area of an i-th character image is detected in a similar manner as in step S12. In step

S33, the character interval  $S_i$  to the next character image is obtained. The value of "i" is incremented in step S34, and whether or not this value reaches the above described value of "M" is determined in step S35. If the value of "n" does not reach "M", the operations in and after step S32 are repeated.

If the value of "i" is determined to reach "M" in step S35, the value of a distribution "V" of the character intervals  $S_1$  through  $S_M$  is calculated in step S36. In step S37, whether or not the value of the distribution "V" is equal to or larger than a threshold value  $TH_V$  is determined. If this value is equal to or larger than the threshold value  $TH_V$ , a language is determined to be English in step S38. If the value of "V" is not equal to or larger than the threshold value  $TH_V$ , the language is determined not to be English in step S39. The process is then terminated.

To identify whether or not a language is English, the value of the distribution between character intervals is used in Fig. 11. The more the original numeric values disperse, the larger the distribution value becomes. The less the numeric values disperse, the smaller the distribution value becomes. Therefore, the nature such that the

distribution value becomes smaller if character intervals disperse narrowly as in a Japanese document, and that character intervals between characters within a word and between words disperse widely as in an English document is used. By comparing with a predetermined distribution threshold value, English is identified.

Fig. 12 is a flowchart showing the process for automatically correcting a document image to be a properly orientated image by extracting character images from an English document image in units of characters, and by detecting whether or not to require the rotation, the rotational angle if the rotation is required, and the mirror image flipping, which are intended for correcting a document image to be properly orientated. This flowchart mainly corresponds to the processes performed by the document orientation detecting unit 14 and the image correcting unit 15, and the process in step S3 of Fig. 7.

Once the process is started in this figure, the line orientation of an input image is detected in step S41. In step S42, the value of "j" indicating the number of characters extracted to be used for orientation detection, and the values of D1 through



D4 as variables for counting characters detected to be properly orientated images among the 4 images which are explained by referring to Figs. 5 and 6. are initialized to "0". If E1 (the method for converting a character image to E1) is detected as a method for correcting a character image to be a properly orientated image, the value of D1 is incremented. If any of the methods for converting a character image to E2 through E4 is detected as a method for correcting a character image to be a properly orientated image, any of D1 through D4 is incremented.

In step S43, one character image E1 is extracted from the input image. The process for extracting a character image is, for example, the one performed in step S12 of Fig. 8. With the result of this process, the need for performing an actual character extraction process in step S43 is eliminated.

In step S44, whether or not the line orientation is vertical is determined in correspondence with the result of the line orientation detection made in step S41. If the line orientation is determined to be vertical, the process goes to step S46 after the character image E1 is rotated by 90 degrees in step 45. If the line orientation is determined not to be

vertical, the process immediately goes to step S46.

5 In step S46, whether or not the ratio of the long side to the short side of the character image E1 (here, also a character image which is rotated by 90 degrees is assumed to be a symbol E1 for ease of explanation) is smaller than 2 is determined. By selecting as an orientation detection target only a character image the ratio of which is smaller than a predetermined value obtained by dividing the length  
10 of the long side by that of the short side of the character image, 2 in this case, an image where two characters are linked or part of a graphic can be prevented from being extracted incorrectly as a character image. Accordingly, if the ratio of the  
15 character image E1 is not smaller than 2 in step S46, the orientation is not detected from the character image. The value of "i" is incremented in step S57. If the value of "j" does not reach "N" which indicates the number of images to be extracted,  
20 according to which the character image correction process must be aborted in step S58, the operations in and after step S43 are repeated.

If the ratio of the long side to the short side is smaller than 2 in step S46, whether or not the  
25 black pixel ratio is within the range from 42 to 80

percent is determined in step S47. If this ratio is outside the range, the process goes to step S57. Here, 47 percent is the threshold value explained by referring to Fig. 10. Additionally, if an image which  
5 is too black, it can possibly be a blot or part of a graphic. Therefore, the orientation is not detected from the character image the black pixel ratio of which is equal to or higher than 80 percent.

If the black pixel ratio is within the range  
10 from 42 to 80 percent, an image E2 obtained by flipping the character image E1 to a mirror image, an image E3 obtained by rotating the character image E1 by 180 degrees, and an image E4 obtained by rotating the character image E1 by 180 degrees and flipping  
15 the rotated image are generated in step S48. Then, character recognition is performed for the images E1 through E4 in step S49. Character codes C1 through C4 as recognition results and the degrees of likelihood of the recognition K1 through K4 are obtained.

20 Here, the character codes as the recognition results and the degrees of likelihood are explained by referring to Fig. 13. In Fig. 13, one input character image or an image E1 obtained by rotating the character image by 90 degrees, an image E2  
25 obtained by flipping E1 to a mirror image, an image

E3 obtained by rotating E1 by 180 degrees, and an image E4 obtained by rotating E1 by 180 degrees and flipping the rotated image are obtained. Then, character codes C1 through C4 resultant from the recognition performed for these images, and their degrees of likelihood K1 through K4 are obtained.

Turning back to Fig. 12. In step S50, it is determined whether or not 2 or more of the 4 degrees of likelihood K1 through K4 are equal to or higher than a predetermined threshold value TH\_K of the degree of likelihood. In this preferred embodiment, an extracted character image itself can possibly be distorted, or an extracted image is not a character but can possibly be an image for which character recognition cannot be originally performed, such as part of a graphic, etc.

Character orientation such as a rotational angle, etc. cannot be detected from such images. Therefore, if all of the 4 degrees of likelihood resultant from the character recognition performed for the 4 images that are obtained by being rotated and/or flipped are lower than the predetermined threshold value, it is determined that the character recognition cannot be performed, and the orientation is not detected from the input image. As a result,

the orientation detection accuracy is improved.

Additionally, symmetrical character images such as "A" or "T" are recognized to the same characters even if they are flipped to mirror images. Therefore, the degrees of likelihood resultant from the character recognition performed for 2 of the 4 images corresponding to such images may become high to the same extent. Accordingly, if the degrees of likelihood resultant from the character recognition performed for 2 or more images are equal to or higher than a threshold value among the 4 character images which are obtained by rotating a character image extracted from an input image or by flipping the extracted character image to a mirror image, the orientation detection accuracy can be improved by eliminating the detection of the orientation from the input image.

If 2 or more of the degrees of the likelihood are higher than the threshold value in step S50, the process goes to step S57. If the number of extracted character values does not reach "N", the operations in and after step S43 are repeated.

If 2 or more of K1 through K4 are not equal to or higher than the threshold value, namely, if 0 or 1 of K1 through K4 is equal to or higher than the

threshold value, the maximum value of K1 through K4 is detected and is defined to be Km ("m" is any of 1 through 4) in step S51. In step S52, whether or not the value of Km is equal to or higher than the threshold value is determined. If the value of Km is not equal to or higher than the threshold value, all of the 4 degrees of likelihood are lower than the threshold value. Therefore, control is transferred to the operations in and after step S57.

10        If the value of Km is equal to or higher than the threshold value in step S52, the degree of likelihood which is equal to or higher than the threshold value is only Km. Then, it is determined whether or not a character code Cm corresponding to Km is a code corresponding to an English character in step S53. If Cm is not a code corresponding to an English character, it is inadequate to detect the orientation from the character image. This is because the process for automatically correcting an English document image is intended to be performed here. The process then goes to step S57 and subsequent steps.

20        If the character code Cm is a code corresponding to an English character in step S53, it is determined whether or not Cm is a code corresponding to a particular character. Here, a character the

orientation of which cannot possibly be detected, such as "A" or "T", may be specified as a particular character, whereby the orientation detection accuracy can be improved. If the character code  $C_m$  matches  
5 such a particular character code, the process goes to step S57 and subsequent steps.

If the character code  $C_m$  is not a particular character code in step S54, the value of  $D_m$  corresponding to  $K_m$  being the maximum value of  $K_1$   
10 through  $K_4$  is incremented among the variables  $D_1$  through  $D_4$  for counting the above described correcting methods in step S55. In step S56, whether or not the value of  $D_m$  reaches 2. If the value of  $D_m$  is 2, it means that the method corresponding to  $D_m$  is  
15 determined to be a correction method to be executed for obtaining a properly orientated image among the 4 correction methods resultant from the rotation or the flipping to a mirror image for 2 of the extracted input images. The variable that first reaches 2 among  
20  $D_1$  through  $D_4$  is adopted for all of other characters within the image as a conversion method for a correction. If the value of  $D_m$  is determined not to reach 2, the operations in and after step S57 are repeated.

25 If the value of  $D_m$  is determined to reach 2 in

step S56, the same conversion method as that for converting the input image E1 to the image Em, namely, the rotation and/or flipping to a mirror image are executed for the input image. The process is then terminated. The reason why the value of Dm is set to 2 as a condition is that an incorrect orientation can possibly be detected if the value of Dm is set to 1, and a processing time increases if the value is 3. However, if precedence is given to the detection accuracy over the processing time, the value of Dm may be set to 3 or more.

In step S59, a document image that is corrected to be a properly orientated image can be obtained by applying to the input image the same conversion method as that for converting the image E1 to the image Em. That is, the image E2 is an image obtained by flipping the image E1 to a mirror image if "m" equals 2, and a properly orientated image can be obtained by flipping the input image to a mirror image. Additionally, since the image E3 is an image obtained by rotating the image E1 by 180 degrees if "m" equals 3, a properly orientated image can be obtained by rotating the input image by 180 degrees. If "m" equals 4, the image E4 is an image obtained by rotating the image E1 by 180 degrees, and by flipping



the rotated image to a mirror image. Therefore, a properly orientated image can be obtained by rotating the input image, and by flipping the rotated image back from the mirror image. Because the input image or the image after being rotated by 90 degrees is a properly orientated image if "m" equals 1, there is no need to perform conversion for a correction. Note that if the line orientation is vertical, the character image E1 is rotated by 90 degrees in step S45. Therefore, the rotation must be performed by 90 degrees corresponding to this rotational angle regardless of "m" in step S59.

If "j" and "N" become equal in step S58, the detection process is terminated. This means that the process is aborted so as not to take a processing time too long if the proper orientation is uneasy to be detected from a character image. With the above described process, an input English document image is automatically corrected to be a properly orientated image.

Fig. 14 is a flowchart showing the process for automatically correcting a document image to be a properly orientated image in units of lines by extracting the whole or part of a line from an English document image, and by detecting whether or

not to require a rotation, a rotational angle if the rotation is required, and mirror image flipping, which are intended for correcting a document image to be properly orientated. This flowchart are mainly the processes performed by the document orientation detecting unit 14 and the image correcting unit 15, which are shown in Fig. 2, and corresponds to step S3 of Fig. 7.

In this flowchart, "j" indicates the number of line images extracted to be used for orientation detection, E1 is an image that is extracted from an input image, and E2 through E4 are images that are respectively obtained by flipping E1 to a mirror image, by rotating E1 by 180 degrees, and by rotating E1 by 180 degrees and flipping the rotated image to a mirror image. K1 through K4 are mean values of the degrees of likelihood for the respective line images E1 through E4, which are obtained by performing character recognition for pluralities of characters within the respective line images E1 through E4. "L" is the number of characters simply obtained by performing character recognition for the line images. Character codes that are obtained by performing the character recognition for the characters within the line images E1 through E4 are respectively

represented by "C1, 1 to C1, L", "C2, 1 to C2, L",  
"C3, 1 to C3, L", and "C4, 1 to C4, L". "D1" to "D4"  
are the same variables used in Fig. 12. "N" indicates  
the number of extracted line images, according to  
5 which the detection must be aborted.

The fundamental process flow is the same as that  
in Fig. 12 except that the process is performed in  
units of line images in Fig. 14, while the  
orientation detection process is performed in units  
10 of character images in Fig.12. Contents of the steps,  
which are different from those in Fig.12, are  
explained below.

In step S63, the whole or part of one line is  
extracted from an input document image as a line  
15 image, and the extracted line image is defined to be  
E1. In step S65, the line image E1 is rotated by 90  
degrees if the line orientation is vertical.

In step S66, on the basis of the input line  
image or the image E1 obtained by rotating the input  
20 line image by 90 degrees, an image E2 obtained by  
flipping E1 to a mirror image, an image E3 obtained  
by rotating E1 by 180 degrees, and an image E4  
obtained by rotating E1 by 180 degrees and flipping  
the rotated image to a mirror image are generated.

25 In step S67, character recognition is performed





also written vertically, for example, a document image in Japanese represented by Chinese characters and kana.

Explained last is the loading of a program into  
5 a computer, which is intended to implement the document image correcting device as a computer system, in the preferred embodiments according to the present invention. Programs recited in claims 16 and 17 of the present invention, and the flowcharts shown  
10 in Figs.7, 8, 11, 12, and 14 are stored, for example, in a memory 25 such as a RAM, a hard disk, etc. of a computer 21. The programs are executed by a main body 24, so that the document image correcting device according to the present invention is implemented.

15 The programs for implementing the document image correcting device according to the present invention may be loaded into the computer 21 from a program provider side via a line 32, or may be stored in a portable storage medium 22 which is normally  
20 distributed, and loaded by the computer 21, so that the programs can be executed.

As the memory 25, for example, a ROM, a magnetic disk, an optical disk, a magneto-optical disk, etc. may be used. As the portable storage medium, an  
25 arbitrary computer-readable storage medium such as a

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The distinguished features of the present inventions are listed below.

- 5 (1) The character image extracting unit according to the present invention selects and extracts a character image the black pixel ratio of which is within a predetermined range.
- 10 (2) The character image extracting unit selects and extracts a character image the ratio of a long side to a short side of which is within a predetermined range.
- 15 (3) The character orientation detecting unit detects as a properly orientated character image the image having the highest degree of likelihood of the recognition made by the character recognizing unit among the images to which the image converting unit converts an extracted character image with different conversion methods, and detects whether or not to
- 20 require the rotation, the rotational angle from the properly orientated character image to the input image if the rotation is required, and the mirror image flipping.
- 25 (4) The character orientation detecting unit detects only one image as a properly orientated image, if

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image types to which the image converting unit converts the character images within the line image with different conversion methods, and detects whether or not to require the rotation, the rotational angle from the properly orientated image to the input document image if the rotation is required, and the mirror image flipping.

(7) The character orientation detecting unit detects as a properly orientated image only one image type if there is the only one image type having the mean degree, which exceeds a predetermined value, of the likelihood of the recognition made by the character recognizing unit for character images within a line image, and detects whether or not to require the rotation, the rotational angle from the properly orientated image to the input document image if the rotation is required, and the mirror image flipping.

As described above, according to the present invention, by using the fact that the line orientation of, for example, an English document is horizontal only, the number of detection orientations of a character is reduced to one half of that of a Japanese character, an English character image is extracted according to the feature of the character image, and character recognition is used, so that the

orientation of the document image can be detected with high accuracy. Therefore, even if an English document is scanned in any of upward, downward, right, and left directions with a hand-held scanner, the input image can be automatically corrected to be a properly orientated image by correctly detecting the orientation of the document image. This significantly contributes to the operability of the document image correcting device, and the improvement of a user interface.

While the invention has been described with reference to the preferred embodiments thereof, various modifications and changes may be made to those skilled in the art without departing from the true spirit and scope of the invention as defined by the claims thereof.